SEP 1	6 2002
-------	--------

Sheet <u>1</u> of <u>1</u>

Substitute	Form PTO 449
(Modified)	Form PTO 49

U.S./Department of Commerce Patent and Trademark Office

Attorney's Docket No. 07588-008001

Application No. 09/698,893

Group Art Unit

& TRADEMARY Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Applicant Morey Kraus et al.

Filing Date

1636

(37 CFR §1.98(b))

October 27, 2000

			U.S. Patent	Documents			
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD					RI	EP 1 8 2002
	AE						-D 1 0 2002
	AF						
	AG					TECH	CENTER 1600/2900
	AH					1501	ļ .
	AI						ORIGINALLY FILE
	AJ						
	AK						

	Foreig	n Patent Doo	cuments or Pu	blished Foreign	Patent A	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

(	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	ID	Document				
Amz	ΛQ	Milton Ende, M.D., "Hematopoietic Transplantation by Means of Fetal (Cord) Blood" A New Method, March 1972 Vol. 99, Virginia Medical Monthly				
_	AR					
	AS					
	АТ					

Examiner	Signature

Anne-Marie Falk

Date Considered

12/12/02

EXAMINER. Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

•			Sheet _1_ <	=
Sposmute Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office		Application No. 09/698,893	CEN
SEP 1 0 2001 Conformation Disc	losure Statement plicant	Applicant Morey Kraus et al.		豆
	eets if necessary)	Filing Date October 27, 2000	Group Art Unit 1636-1632	5 009

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
Ama	AA	5,674,750	10/07/1997	Kraus et al.	435	372	05 19 1995
Amz Amz	AB	5,925,567	07/20/1999	Kraus et al.	435	372	10:06/1997
	AC					1	
	AD						
	ΑE					i	
	Δŀ						
	AG						
	АН						
	Al						
	AJ						
	AK						

	Foreign	n Patent Doc	uments or Pu	blished Foreign	Patent A	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
	ΛN							
	AO							
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document			
Amz	BA	"Time Window of Intracisternal Osteogenic Protein-1 in Enhancing Functional Recovery After Stroke" JingMei Ren, Paul L. Kaplan, Marc F. Charette, Heather Speller, Seth P. Finklestien; NeuroPharmacology 39 (2000) 860-865			
Amz	ВВ	"Intracerebral Transplantation of Bone Marrow with BDNF After MCAo in Rat" Jieli Chen, MD, Yi Li, MD, Michael Chopp, Ph.D.; NeuroPharmacology 39 (2000) 711-716			
Amz	BC	"Transplantation of Cultured Human Neuronal Cells for Patients with Stroke" d. Kondziołka, MD; L. Wechsler, MD; S. Goldstein, MD; C. Meltzer, MD; K.R. Thulborn, MD, Ph.D; J. Gebel, MD; P. Janetta, MD; S. DeCesare, E.M. Elder, ScD; M. McGrogan, PhD; M.A. Reitman, MD; and L. Bynum, MD; Neurology 55 p. 467, 565-569			

Ama 1	Transplantation of Cultured Hu L. Wechsler, MD; S. Goldstein, Janetta, MD; S. DeCesare, E.M. Bynum, MD; Neurology 55 p. 40	man Neuronal Cells for Patients with Stroke" d. Kondziołka, MD; MD; C. Meltzer, MD; K.R. Thulborn, MD, Ph.D; J. Gebel, MD; P. Elder, ScD; M. McGrogan, PhD; M.A. Reitman, MD; and L. 57, 565-569
Examiner Signature	. 2 4	Date Considered
An	ne-marie Falk	12/12/02
EXAMINER Initials ci	tation considered. Draw line through citation	if not in conformance and not considered. Include copy of this form with

next communication to applicant.

			Sheet _2	2 of 3
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark (Inco	Attorney's Docket No. 07588-008001	Application No. 09/698,893	<u> </u>
	pplicant	Sporey Kraus et al.		
	neets if necessary SP 1 0 7001	Fulling Date Sectober 27, 2000	Group Art Unit 1636 1632	

Other Documents (include Author, Title, Date, and Place of Publication)					
Other Documents (include Author, Title, Date, and Place of Publication)					
Initial	Desig. ID	Document			
·	10	"Co-Administration of Neural Stem Cells and BFGF Ehances Functional Recovery Following			
Amo	BD	Focal Cerebral Infarction in Rat"; J. Ren; B.A. Tate; D. Sietsma; A. Marciniak; E.Y. Snyder;			
		S.P. Finklestein; In Soc. Neurosci. Abstr., 26:2291, 2000			
1	BE	"Researchers Turn Bone Marrow Cells Into Nerve Cells" Gina Kolata; NY Times on the Web			
		National Science/Health August 15, 2000			
		"Intracerebral Transplantation with Cultured bone Marrow Stroma Cells after MCAO in Rats" J.			
]	BF	Chen, Y Li, L. Wang, M. Chopp American Society For Neural transplantation & Repair Program			
		and Abstracts Vol. 7:2000			
	BG	"Effects of Transplantation of Bone Marrow Cells on Wistar Rats Following Traumatic Brain			
		Injury" A Mahmood, D. Lu, Y. Li, J. Chen, L. Wang, M. Chopp American Society For Neural			
		transplantation & Repair Program and Abstracts Vol. 7:2000			
		"Nueral Stem Cells In Combination With Basic Fibro-Blast Growth Factor (BFGF) May Represent			
	CA	a Treatment for Stroke" A. Marciniak B. A. Tate, M. Rcn. D. Sietsma, S.P Finklestein & e. Y.			
		Snyder American Society For Neural transplantation & Repair Program and Abstracts Vol. 7:2000			
	-212	"Neural Stem Cells Transplanted in the Middle Cerebral Artery Occlusion Model of Stroke"			
	CB	A.E. Willing, T. Zigova, M. Miliken, s. Poulos, E. Snyder, G. Snable, and P.R. Snaberg American			
-		Society For Neural transplantation & Repair Program and Abstracts Vol. 7:2000 "Implantation Site of Stem Cells in MCAO Rats Influences the Recovery on Different Behavioural			
	CC	Tests" Modo. M. Stroemer, R.P. Tang, E. Veizovic, T. and Hodges H. American Society For			
	( (	Neural transplantation & Repair Program and Abstracts Vol. 7:2000			
		Stem Cells in The Adult Human Brain: Their Molecular Cell Biology Potential Use in Cell			
		Replacement and Involvement in Neoplasia" Dennis A. Steindler, Eric D. Laywell, Oleg N. Suslov,			
	CD	Taryana Ignatova, Frank d. Vrionis, and Vaery G. Kukekov American Society For Neural			
)		transplantation & Repair Program and Abstracts Vol. 7:2000			
		"Intra-Carotid Administration of Adult Bone Marrow Cells After Middle Cerebral Artery			
-	CE	Occlusion in Adult Rat Brain" Y.Li, J. Chen, L. Wang, d. Dou, X.H. Zhang, M. Chopp American			
	:	Society For Neural transplantation & Repair Program and Abstracts Vol. 7:2000			
1	CF	"Phenotypic Expression of Transplanted Human Bone Marrow Derived Multipotent Adult Stem			
		Cells Into The Rat CNS" C.D. Keene, M. Reyes, L. R. Zhao, W. Wang, s.R. Spellman, C.M.			
		Verfaillie, and W.C. Low American Society For Neural transplantation & Repair Program and			
		Abstracts Vol. 7:2000			
	CG	"Transplanted Human Bone Marrow Derived Adult Stem Cells Survive and Improve Functional Outcome in a Rat Model of Cortical Ischemic Brain Injury" Li-Ru Zhao, Wei-Ming Duan, C. Dirk			
		Keene, Morayma Reyes, Eric S. Nussbaum, Catherine M. Verfaille, and Walter C. Low American			
		Society For Neural transplantation & Repair Program and Abstracts Vol. 7:2000			
-		"Adult Bone Marrow Stromal Cells Differentiate Into Neural Cells In Vitro" J. Sanchez-Ramos, s.			
4,	СН	Song, F. Cardozo-Pelaez, C. Hazzi, T. Stedeford, A. Willing, T.B. Freeman, S. Saporta, W.			
V		Janssen, N. Patel, D.R. Cooper and P.R. Sanberg American Society For Neural transplantation &			
		Repair Program and Abstracts Vol. 7:2000			
Amz		"Multipotential Stem-Like cells from Bone Marrow Can differentiate Into Macroglia In Vitro and			
	CI	can Integrate into Developing Rat Brain" S.A. Azizi, Emily J. Schwarz, Weiwen Dong and			
		D.J. Prockop American Society For Neural transplantation & Repair Program and Abstracts			
		* Vol. 7:2000			

Examiner Signature	Date Considered , ,
Anne-marie Falk	12/12/02
EXAMINER Initials citation considered. Draw line through citation if next communication to applicant.	not in conformance and not considered. Include copy of this form with

Application No. Attorney's Docket No. Substitute Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office (Modified) 09/698,893 07588-008001 Information Disclosure Statement pplicant Morey Kraus et al. **by Applicant** (Use several sheets if necessary) Date SEP 1 8 2001 Group Art Unit ober 27, 2000 1636 1632 (37 CFR §1.98(b))

Other Documents (include Authors itle, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID	Document		
Amz	CJ	"Simultaneous Intraputaminal and Intranigral Fetal Dopaminergic Grafts In Parkinson's Disease First Clinical Trials" L. Mendez, A. Dagher, M. Hong and the Halifax neural Transplantation Group American Society For Neural transplantation & Repair Program and Abstracts Vol. 7:2000		
	CK	"Neurotransplantation for Stroke Results from a phase 1 Clinical Trial" K. Kondziolka, L. Wechsler, S. Goldstein, J. Gebel, S. DeCesare, C. Meltzer, K. Thulborn, A. Niranjan, T. Thompson, P. Jannetta, E. Elder American Society For Neural transplantation & Repair Program and Abstracts Vol. 7:2000		
	CL	"Formation of SNACs By Simulated Microgravity Coculture of Sertoli Cells and NT2 Cells" D.F. Cameron, s. Saporta, A. Willing, J.J. Hushen, L. Colina and P.R. Sanberg American Society For Neural transplantation & Repair Program and Abstracts Vol. 7:2000		
	CM	Pages from Layton Bioscience Inc. Web cite <a href="http://laytonbio.com/whatsnew.htm">http://laytonbio.com/whatsnew.htm</a>		
	DA	Pages from web cite htt://www.diacrin.com/new.htm		
	DB	Pages from Proneuron Pipeline web cite http://www.proneuron.com/Products.html		
	DC	Pages from Stem Cells, Inc. web cite http://www.corporate-ir.net/ireye/ir_site.zhtml		
V	DD	Pages from ReNeuron web cite http://www.reneuron.com/Company/Company.html		
Amz	DE	Ex Vivo Expansion of cord Blood-Derived Stem Cells and Progenitors, Malcolm A.S. Moore and Iffath Hoskins Blood Cells (1994) 20:468-481		

Examiner Signature

Anne-Marie Falk

Date Considered

12/12/02